Licensing Program

Professional Certificates and Exam Program



Licensing Personnel in Securities Field Division



About the Program

The Professional Certificates and Exams Program helps staff who are working in securities field to consider their profession and their qualifications by providing the opportunity to them to be acquainted with the standards that maintain and organize staff efficiency through passing a several exams according to the designations.

MSX is aware of the importance of Licensing and developing in order to succeed in highly competitive sector that require to get the latest trends and methods in capital market sector, to achieve that professional staff must comply by passing the requirements and under whatever conditions and designations they need to pass Omani Capital market regulation EXAM.

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LICENSING ROADMAP



What the Right Qualification?

Referring to your current designation you will find the mandatory requirements in Figure 1



BOC

BOOK THE EXAMS

After knowing your mandatory requirements you need to book exams through CISI and For OMANI REGULTIONS requirements you will need to contact us



For OMANI REGULTIONS EXAMS Licensing Personnel section will provide you the material to study then we will set the Exam date and time For other requirements martial you need to contact CISI



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Time limit for each

6 months' time period for each paper to be completed starting with Oman Regulatory Paper



After PASSING the requirements staff should inform Licensing Personnel section to ensure avoiding deactivation

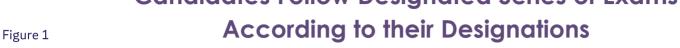


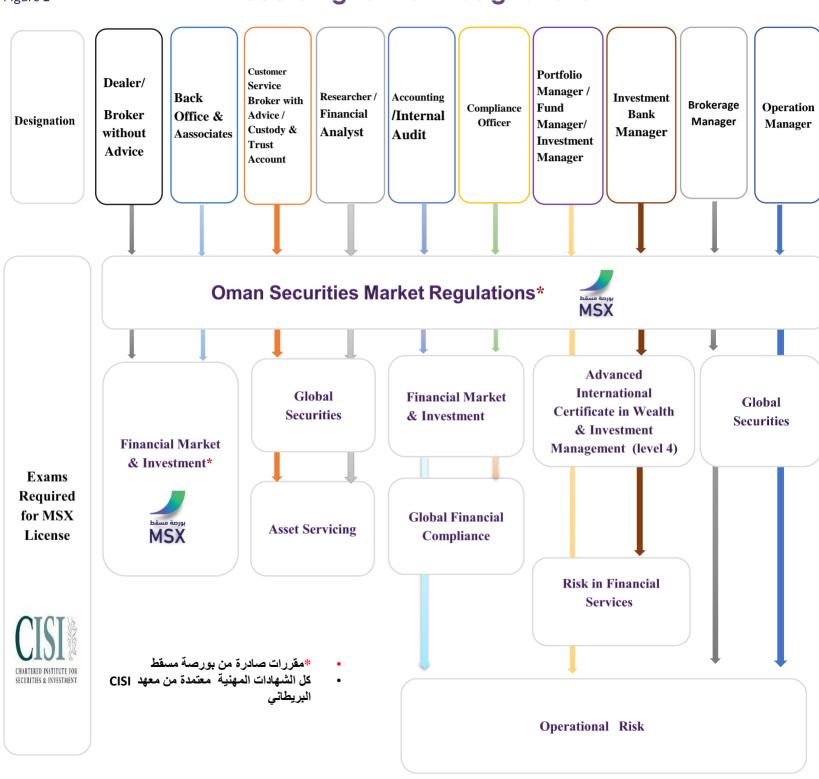


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Candidates Follow Designated Series of Exams According to their Designations





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MSX

Oman Securities Market Regulations

Financial Market & Investment

MSX Sits Oman Securities Market Regulations Exams and staff can request to take the Exam by contacting Licensing Personnel in Securities Field

Risk in Financial Services

International Introduction to Securities & Investment

International Certificate in Wealth & Investment Management (ICWIM).

CISI

Asset Servicing

For the remaining Papers, staff need to contact CISI directly and providing proof of Passing for Licensing Personnel in Securities Field once its completed.

Global Securities

Operational Risk

Global Financial Compliance

Fundamentals of Financial Services

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Timeline



6 months time period deadline to pass and complete each paper



Maximum qualifications required for each designation are three courses which mean (6 months + 6 months + 6 months) = 1.6 years



The candidates must begin with <u>Omani Regulatory Paper</u>, and the shifting to next course should follow the order as shown in figure 1.



MSX have the authority to take an action of deactivation trading user of any broker who did not commit to complete the licensing requirements within the prescribed period.



CMA will monitor and control another designations with MSM cooperation.

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Professional Certification

Staff who have CFA certificate such as Financial Analyst (Not All Designation only if this certificate is commensurate with the nature of their work and this case will be decided by MSX) will be exempted from all CISI requirements except Oman Regulatory Paper.

Staff who have ACCA certificate such as Accounting & Internal Audit (Not All Designation only if this certificate is commensurate with the nature of their work and this case will be decided by MSX) will be exempted from all CISI requirements except Oman Regulatory Paper

Contact details







