

# Licensing Program

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## Licensing Exam Program



بورصة مسقط

**MSX**

Licensing Personnel in  
Securities Field Division

## About the Program

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The Professional Certificates and Exams Program helps staff who are working in securities field to consider their profession and their qualifications by providing the opportunity to them to be acquainted with the standards that maintain and organize staff efficiency through passing a several exams according to the designations.

MSX is aware of the importance of Licensing and developing in order to succeed in highly competitive sector that require to get the latest trends and methods in capital market sector, to achieve that professional staff must comply by passing the requirements and under whatever conditions and designations they need to pass Omani Capital market regulation EXAM.

### LICENSING ROADMAP

#### What the Right Qualification ?

Referring to your current designation you will find the mandatory requirements in Figure 1



#### BOOK THE EXAMS



After knowing your mandatory requirements you need to book exams through CISI and For OMANI REGULATIONS requirements you will need to contact us

#### SELF STUDY

For OMANI REGULATIONS EXAMS Licensing Personnel section will provide you the material to study then we will set the Exam date and time For other requirements material you need to contact CISI



#### Time limit for each



6 months' time period for each paper to be completed starting with Oman Regulatory Paper

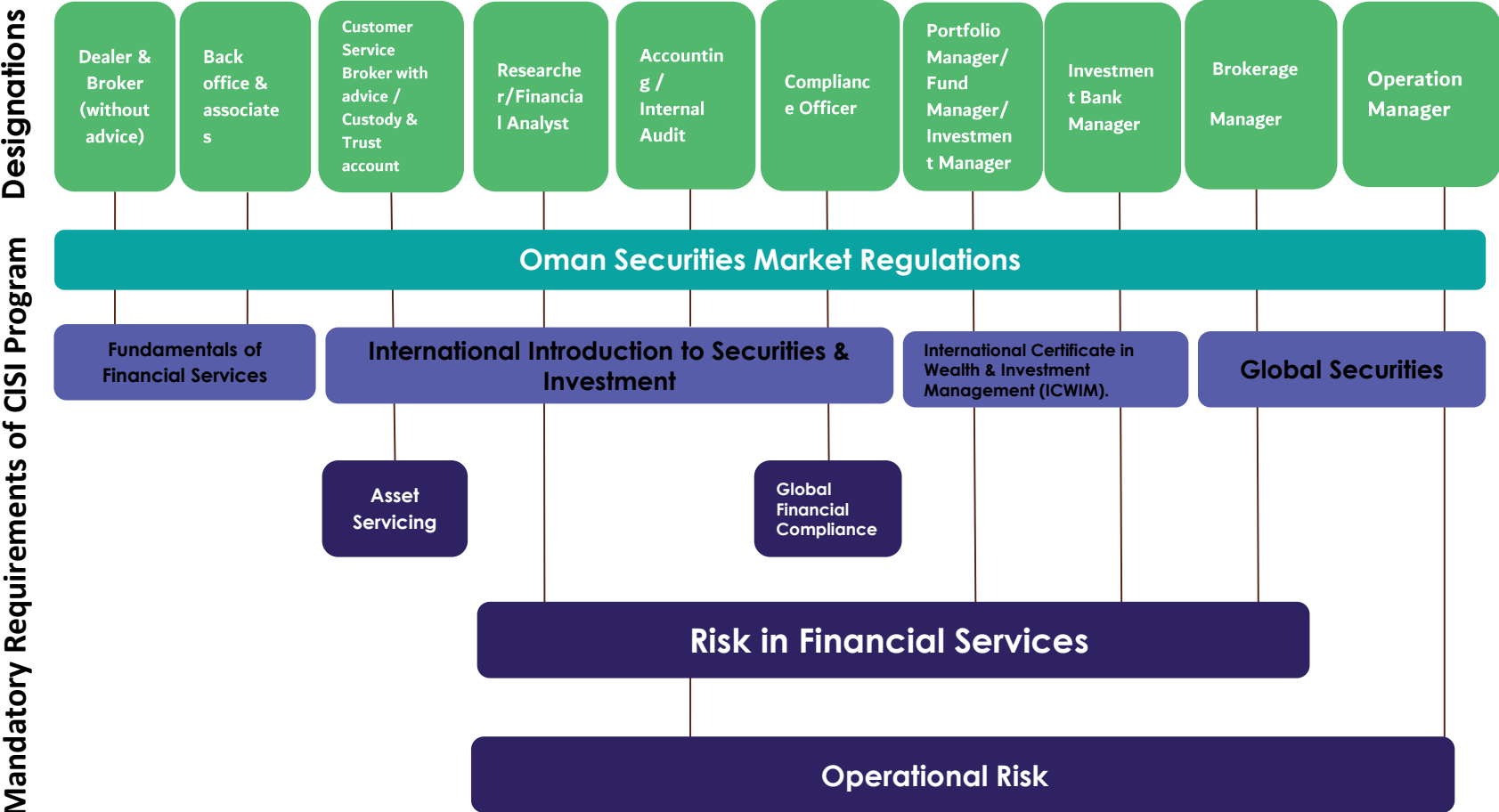
#### PASS

After PASSING the requirements staff should inform Licensing Personnel section to ensure avoiding deactivation



### Candidates Follow Designated Series of Exams According to their Designations

Figure 1



Note: General Manager/Managing Director/CEO/Executive Officer Will Be Exempted From the Program.

**MSX**

**Oman Securities Market Regulations**

**MSX Sits Oman Securities Market Regulations Exams and staff can request to take the Exam by contacting Licensing Personnel in Securities Field**

Risk in Financial Services

International Introduction to Securities & Investment

International Certificate in Wealth & Investment Management (ICWIM).

**CISI**

Asset Servicing

Global Securities

Operational Risk

Global Financial Compliance

Fundamentals of Financial Services

**For the remaining Papers, staff need to contact CISI directly and providing proof of Passing for Licensing Personnel in Securities Field once its completed.**

## Timeline



6 months time period deadline to pass and complete each paper



Maximum qualifications required for each designation are three courses which mean (6 months + 6 months + 6 months) = 1.6 years



The candidates must begin with Omani Regulatory Paper, and the shifting to next course should follow the order as shown in figure 1.



MSX have the authority to take an action of deactivation trading user of any broker who did not commit to complete the licensing requirements within the prescribed period.



CMA will monitor and control another designations with MSM cooperation.

## Exemption

### EXPERINCE

Staff who have more the 15 years of experience in same field or position will be exempted from all CISI requirements except Oman Regulatory Paper.

In case staff have more than 15 experience in similar fields MSM have right to decide the exemption to him.

### Professional Certification

Staff who have CFA certificate such as Financial Analyst (Not All Designation only if this certificate is commensurate with the nature of their work and this case will be decided by MSX) will be exempted from all CISI requirements except Oman Regulatory Paper.

Staff who have ACCA certificate such as Accounting & Internal Audit (Not All Designation only if this certificate is commensurate with the nature of their work and this case will be decided by MSX) will be exempted from all CISI requirements except Oman Regulatory Paper

## Contact details



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